



Attorney Docket No. YOR920010748US1

21

8-20-02

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Patent Application

Applicant(s): G. Grabarnik et al.
Docket No.: YOR920010748US1
Serial No.: 09/976,543
Filing Date: October 12, 2001
Group: 2151
Examiner: Unassigned

I hereby certify that this paper is being deposited on this date with the U.S. Postal Service as first class mail addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Signature: Amie A. Glaser Date: August 2, 2002

Title: Systems and Methods for Validation, Completion and Construction of Event Relationship Networks

INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents
Washington, D.C. 20231

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AUG 16 2002

Technology Center 2100

Sir:

Pursuant to 37 C.F.R. §§1.56, 1.97 and 1.98, Applicants' attorney wishes to bring to the attention of the Patent and Trademark Office the following document listed on the accompanying Form PTO-1449. A copy of each listed document is enclosed.

U.S. Patent Document

1. U.S. Patent Application Serial No. 09/976,540, filed October 12, 2001 in the name of inventors G. Grabarnik et al. and entitled "Systems and Methods for Providing Off-Line Decision Support for Correlation Analysis".

2. U.S. Patent Application Serial No. 09/976,574, filed October 12, 2001 in the name of inventors G. Grabarnik et al. and entitled "Systems and Methods for Pairwise Analysis of Event Data".

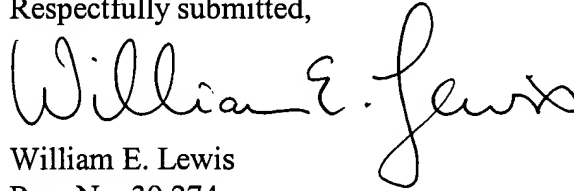
Other Documents

1. Thoenen et al., "Event Relationship Networks: A Framework for Action Oriented Analysis in Event Management," International Symposium on Integrated Network Management, 2001.

It is believed that there is no fee due in conjunction with the filing of this Information Disclosure Statement. In the event of non-payment or improper payment of a required fee, the Commissioner is authorized to charge or to credit **International Business Machines Corporation Deposit Account No. 50-0510** as required to correct the error.

The filing of this Information Disclosure Statement shall not be construed as a representation that a search has been made, or as an admission that the information cited is considered to be material to patentability, or as a representation that no other material information exists.

Respectfully submitted,

A handwritten signature in black ink, appearing to read "William E. Lewis". The signature is fluid and cursive, with the first name "William" and last name "Lewis" clearly distinguishable.

Date: August 7, 2002

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LIST OF PUBLICATIONS FOR
APPLICANT'S INFORMATION
DISCLOSURE STATEMENT

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U.S. PATENT DOCUMENTS

EXAMINER				FILING DATE
INITIAL	DOCUMENT NO.	DATE	NAME	IF APPROPRIATE
—	09/976,540	10/12/01	G. Grabarnik et al., "Systems and Methods for Providing Off-Line Decision Support for Correlation Analysis".	
—	09/976,574	10/12/01	G. Grabarnik et al., "Systems and Methods for Pairwise Analysis of Event Data".	

FOREIGN PATENT DOCUMENTS

EXAMINER				TRANSLATION
INITIAL	DOCUMENT NO.	DATE	COUNTRY	YES NO
—				

OTHER DOCUMENTS

EXAMINER		
INITIAL	REF NO.	AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.
—	1.	Thoenen et al., "Event Relationship Networks: A Framework for Action Oriented Analysis in Event Management," International Symposium on Integrated Network Management, 2001.

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Examiner

Date Considered

Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.